

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **NAKATA, Yoshihiro et al.**

Group Art Unit: 1771

Serial No.: 10/807,174

Examiner: Hai VO

Filed: March 24, 2004

P.T.O. Confirmation No.: 4205

For. **SILICON-BASED COMPOSITION, LOW DIELECTRIC CONSTANT FILM, SEMICONDUCTOR DEVICE, AND METHOD FOR PRODUCING LOW DIELECTRIC CONSTANT FILM**

**INFORMATION DISCLOSURE STATEMENT AND
STATEMENT PURSUANT TO 37 CFR 1.97(c)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

April 18, 2007

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to issuance of either a final official action, a Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies

XX that each item of information contained in this statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

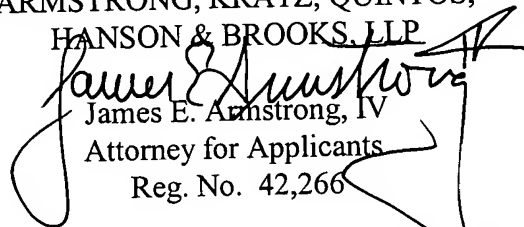
The above information is presented so that the Patent and Trademark Office may, in the first

instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

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PATENT TRADEMARK OFFICE

Enclosures: PRO-1449; a copy of German Patent Office Action ; and Reference (2)

**INFORMATION
DISCLOSURE
CITATION**
PTO-1449

Atty. Docket No. **011293A**

Serial No. **10/807,174**

Applicant(s): **NAKATA, Yoshihiro et al.**

Filing Date: **March 24, 2004**

Group Art Unit: **1771**

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA	6,613,834	Nakata et al.	09/02/2003			
_____	AB	6,958,525	Nakata et al.	10/25/2005			
_____	AC	2006/022357	Nakata et al.	02/02/2006			
_____	AD						
_____	AE						

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
_____	AF	101 13 110A1	10/25/2001	Germany	Yes, abstract only. (References AA-AC are the families of this application.)
_____	AG				
_____	AH				
_____	AI				
_____	AJ				

OTHER DOCUMENTS

_____	AK	Römpp-Lexikon Chemie, 10 th ed. (1999), Thieme Verlag, Stuttgart, vol.5, "Polycarbosilane", pp.3429-3431.
_____	AL	A copy of the German Patent Office Action for corresponding German patent application No.101 54 771.4-43, dated January 31, 2007. (Citing References AF and AK)
Examiner		Date Considered